



Docket No. HRT-241

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : St. Goar et al.

Serial No. : 09/863,135

Art Unit: 3763

Filed : May 22, 2001

Examiner: C. Rodriguez

For : METHOD FOR DELIVERING A FLUID TO THE CORONARY OSTIA

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail in an envelope addressed  
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August 18, 2004  
(Date of Deposit)

Brian S. Tomko  
(Name of Applicant, Assignee, or Registered Representative)

  
(Signature)

August 18, 2004

(Date of Signature)

Commissioner for Patents  
P.O. Box 1450  
Washington, D.C. 20231

FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This copy is supplemental to the Information Disclosure  
Statement mailed on May 22, 2001.

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R.  
§§1.97-1.98, information relating to the above-identified  
application is hereby disclosed. Inclusion of information in this  
statement is not to be construed as an admission that this  
information is material as that term is defined in 37 C.F.R.  
§1.56(b).

Applicant(s) reserve(s) the right to establish the  
patentability of the claimed invention over any of the information  
provided herewith, and/or to prove that this information may not  
be prior art, and/or to prove that this information may not be  
enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

☐ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above-identified application as set forth in §1.491, or, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

- ☐ Statement in Accordance with §1.97(e) (attached);  
or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☒ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

- ☒ Statement in Accordance with §1.97(e) (attached);  
or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in §1.17(p).

☒ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

☐ In view of the voluminous nature of references (list as appropriate), and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

☐ Copies of only foreign patent documents and non-patent literature are enclosed in accordance with 37 CFR 1.98 (a)(2). (The U.S. patents and each U.S. patent application publication listed on the attached Form PTO-1449 are not enclosed because this U.S. patent application was filed after June 30, 2003 or this international application has entered the national stage under 35 USC §371 after June 30, 2003 (see USPTO waiver of requirement under 37 CFR 1.98 (a)(2)(i)).

☐ There are no listed references which are not in the English language.

☐ The relevance of those listed references which are not in the English language is as follows:


☐ Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.

Copies of the references listed on the search report(s) are included except for those previously cited in an IDS mailed

☐ Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/HRT-241/BST. This form is submitted in triplicate.

Respectfully submitted,

  
\_\_\_\_\_  
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DATED: 8/18/04

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(Name of applicant, assignee, or Registered Representative)

(Signature)

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(Date of Signature)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

STATEMENT UNDER 37 C.F.R. §1.97(e)

In accordance with 37 C.F.R. §1.97(e), certification is hereby  
made that:

☒ Each item of information contained in the information  
disclosure statement was first cited in any communication from a  
foreign patent office in a counterpart foreign application not more  
than three months prior to the filing of the Information Disclosure  
Statement; or

☐ No item of information contained in the Information  
Disclosure Statement was cited in a communication from a foreign  
patent office in a counterpart foreign application, and, to the  
knowledge of the undersigned after making reasonable inquiry, no item  
of information contained in this Information Disclosure Statement,

was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.



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DATED: August 18, 2004

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PTO/SB/08A (08-00)

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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Application Number	09/863,135
Filing Date	May 22, 2001
First Named Inventor	St. Goar et al.
Group Art Unit	3763
Examiner Name	C. Rodriguez
Attorney Docket Number	HRT-241

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code <sup>2</sup> (if known)			

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T <sup>3</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup>				
		DE	40 00 552	A1	Aasfar, Sami K.	07-11-1991		
		EP	0 567 978	A	Minnesota Mining and Manufacturing Co.	11-03-1993		
		EP	0 150 960	B1	Corday, E. et al.	01-03-1990		
		WO	89 10155	A1	Research Medical, Inc.	11-02-1989		
		WO	90 01972	A1	Shile, Inc.	03-08-1990		

Examiner Signature	Date Considered
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Examiner's Initials <sup>6</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
		ASPAR, S. K.; German Patent Application DE 4000552 A, Patent Abstract; Dialog File Number 351; Accession Number 8705269; Derwent World Patents Index; Derwent Information Ltd.
		YOSUKE, M. (Terumo Corp); Japanese Patent Publication 03-198868, Patent Abstract with English translation of Brief Description of the Drawings; Patent Abstracts of Japan

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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